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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i> | ATTORNEY DOCKET NO. | APPLICATION NO. |
| | 1046.1306 | 10/762,330 |
| | FIRST NAMED INVENTOR Satoru TANAKA | |
| | FILING DATE | GROUP ART UNIT |
| | January 23, 2004 | 2132 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|------|------|-------|---------------|----------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | <u>TRANSLATION</u> YES NO | | ABSTRACT |
|--|----|-----------------|------|---------|------------------------------|--|----------|
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |

| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | <u>TRANSLATION</u> YES NO | |
|---|----|--|--|--|--|------------------------------|--|
| | AM | Toshiyuki HYODO, "Security Status Rating," Computer Security Symposium 2002, Japan, Information Processing Society, October 30, 2002, Volume 2002, p. 71-76 and partial English translation (4 pages). | | | | partial | |

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|---|-----------------|
| EXAMINER | DATE CONSIDERED |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

ATTACHMENT 1(e)

| | | |
|--|----------------------|-----------------|
| EXPLANATIONS OF RELEVANCY OF REFERENCES | ATTORNEY DOCKET NO. | APPLICATION NO. |
| | 1046.1306 | 10/762,330 |
| | FIRST NAMED INVENTOR | |
| | Satoru TANAKA | |
| | FILING DATE | GROUP ART UNIT |
| | January 23, 2004 | 2132 |

- ❖ Please note that the Non Patent reference (AM) cited on the attachment 1(g) was cited in a Japanese Office Action issued on April 21, 2009 in corresponding Japanese Patent Application 2003-022630.

ATTACHMENT 1(g)

| | | |
|---|---------------------------------------|-----------------|
| LIST OF ADDITIONAL SUBMITTED DOCUMENTS | ATTORNEY DOCKET NO. | APPLICATION NO. |
| | 1046.1306 | 10/762,330 |
| | FIRST NAMED INVENTOR Satoru TANAKA | |
| | FILING DATE | GROUP ART UNIT |
| | January 23, 2004 | 2132 |

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialling same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application; and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|------|------|-------|---------------|----------------|
| | BA | | | | | | |
| | BB | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES NO | |
|--|----|-----------------|------|---------|-------|---------------|-----------------------|--|
| | BC | | | | | | | |
| | BD | | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | TRANSLATION YES NO | |
|--|----|--|--|--|--|--|-----------------------|--|
| | BE | Japanese Office Action mailed on April 21, 2009 in corresponding Japanese Patent Application 2003-022630 (3 pages) (3 pages English Translation) | | | | | X | |

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|--|-----------------|
| EXAMINER | DATE CONSIDERED |
| <p>*EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | |